Modes of Governance and Their Evaluation

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• Introduction

• Governance – an Ambiguous Concept

• Governance versus Government

• Good Governance

• Remaining Questions
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• **Governance versus Government**
  – Private actors on the rise?
  – External challenges to state sovereignty (globalization)
  – Internal challenges to state sovereignty (societal differentiation and complexity)

• Good Governance
• Remaining Questions
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• **Good Governance**
  – Diffuse design prescriptions
  – When is governance "good"?
  – Relevance of selected evaluation criteria

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• Remaining Questions
  – Which patterns of governance can be distinguished? Analytical criteria to identify different modes of governance
  – Which governance pattern in which situation? Criteria for the evaluation of governance modes
## Four Ideal Types of Governance

<table>
<thead>
<tr>
<th>Degree of Legal Obligation</th>
<th>Cooperation of Public and Private Actors</th>
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<tbody>
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<td></td>
<td>Low</td>
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<tr>
<td>High</td>
<td>Interventionist Regulation</td>
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<tr>
<td>Low</td>
<td>Regulated Self-regulation</td>
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<tr>
<td>Low</td>
<td>Private Self-regulation</td>
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<td>High</td>
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<td>Co-regulation</td>
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Which Model for What Season?
The Relevance of Context

- **Institutional Structures**
  - Power of central governments (veto points)
  - Organizational strength of society

- **Type of Problem**
  - Coordination problems
  - Agreement problems ("Battle of the Sexes")
  - Defection problems
When is Governance Good?
Criteria for Normative Evaluation

• **Output Legitimacy**
  – Decision-making
  – Implementation effectiveness

• **Input Legitimacy**
  – Due process and participation
  – Substantive equality and accountability
Conclusions

• Rolling back of the state and increasing involvement of private actors is no panacea …
  – …to increase efficiency and effectiveness of political problem-solving
  – …to increase legitimacy of political problem-solving
• Strong involvement of state is crucial for effective and legitimate governance
• Strong involvement of private actors implies a strong involvement of the state – "the shadow of hierarchy”
• Private self-regulation hardly constitutes a viable alternative to state intervention
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